



University of Connecticut Health Center

MEMORANDUM

TO: Daniel L. Upton
Chief Financial Officer

FROM: David Larkin
Director, Research Administration & Finance

DATE: November 19, 2007

SUBJECT: Board of Directors Meeting - December 10, 2007
Health Center Awards and Donations - October 2007

The summary below lists awards and donations received in the Health Center Grants Administration Office during the period July 1, 2007 through October 31, 2007. The variances in Research Projects, Fellowships and Traineeships, comparing the current period to the same period in the prior fiscal year may be the result of timing differences in the receipt of awards.

SUMMARY OF HEALTH CENTER AWARDS

Category	FY 2008		FY 2007		Variance	
	# Awards	Amount	# Awards	Amount	# Awards	Amount
Research - Federal	75	\$ 24,809,708	73	\$ 25,841,660	2	\$ (1,031,952)
Research - Private	60	5,030,400	53	3,171,709	7	1,858,691
Research - State	18	2,836,971	21	2,037,078	(3)	799,893
Research - State: Stem Cell	1	100,000	0	0	1	100,000
Scholarships / Fellowships	8	275,002	6	150,632	2	124,370
Traineeships	15	2,113,818	7	1,884,506	8	229,312
Education Related Programs	29	2,594,714	25	1,507,350	4	1,087,364
Sub-total	206	\$ 37,760,613	185	\$ 34,592,936	21	\$ 3,167,677
Industry Support	75	2,057,575	84	1,390,255	(9)	667,320
Gifts & Donations	37	92,363	54	290,953	(17)	(198,590)
TOTAL	318	\$ 39,910,551	323	\$ 36,274,144	(5)	\$ 3,636,407

cc. Mr. P. Hudobenko
Mr. J. Walter
Dr. P. Deckers
Mr. D. Gillon
Dr. L. Wolfson
Mr. J. Geoghegan
Dr. D. Saha
Dr. B. Koeppen

Ms. M. Green
Dr. M. MacNeil
Mr. B. Carlson
Mr. L. Paplauskas
Ms. L. Aronson
Dr. M. Lalande
Ms. M. Stayton
Dr. S. Reisine

Mr. J. Small
Ms. J. Gilson
Ms. S. Esselby
Mr. R. Tryon
Mr. C. Marotta
Ms. A. Horbatuck
Mr. J. Brigada
Mr. R. Blum

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<u>ACT #</u>	<u>SCL</u>	<u>PI</u>	<u>AGENCY</u>	<u>TITLE</u>	<u>AMOUNT</u>	<u>START</u>	<u>END</u>
<u>RESEARCH-FEDERAL</u>							
22841	M	LAZZARINI, ZITA	NATIONAL INSTITUTE OF DRUG ABUSE	RAPID ASSESSMENT OF DRUG LAW & POLICY IN THE FSU & CEE	140,146	9/30/2003	8/31/2008
22958	D	LITT, MARK	ALCOHOL & ALCOHOLISM (NIAAA)	INDIVIDUALIZED ASSESSMENT AND TREATMENTFOR ALCOHOLISM	24,713	3/10/2005	2/28/2008
23127	M	CONE, ROBERT	NATIONAL EYE INSTITUTE	OCULAR REGULATION OF AUTOIMMUNITY	296,000	9/30/2007	8/31/2008
23128	M	LIEBERMAN, JAY	NATIONAL CANCER INSTITUTE	RANKL AND BMPS IN PROSTATE CANCER INDUCED BONE LESIONS	312,236	8/7/2007	6/30/2008
23129	M	COVAULT, JONATHAN	ALCOHOL & ALCOHOLISM (NIAAA)	NOVEL METHODS TO STUDY SUBSTANCE USE INCOLLEGE STUDENTS	296,486	9/30/2007	8/31/2008
23130	M	CONTI, LISA	ALCOHOL & ALCOHOLISM (NIAAA)	EFFECT OF CRF1 RECEPTOR GENOTYPE AND STRESS ON ANXIETY AND ALCOHOL	212,750	9/30/2007	8/31/2008
23131	M	SCHENSUL, STEPHEN	NATIONAL INSTITUTE OF MENTAL HEALTH	THE PREVENTION OF HIV/STI AMONG MARRIEDWOMEN IN URBAN INDIA	702,630	9/19/2007	8/31/2008
RESEARCH-FEDERALTOTAL					1,984,961		
<u>RESEARCH-PRIVATE</u>							
32968	M	KURTZMAN, SCOTT	UNIV OF PITTSBURGH	NSABP BREAST AND BOWEL CANCER TREATMENTDHHS BC0107-185	5,475	2/1/1997	1/31/2008
33670	M	PALMISANO, JOSEPH	UCONN FOUNDATION	JAMES E.C. WALKER M.D./PRIMARY CARE	36,534	1/1/2000	6/30/2010
34737	D	WAGNER, JULIE	AMERICAN HEART ASSOCIATION	LIFETIME HISTORY OF MAJOR DEPRESSIVE DISORDER & ENDOTHELIAL FUNCTION ETC	65,000	7/1/2005	6/30/2009
34777	M	KENNY, ANNE	DONAGHUE FOUNDATION	BIONUTRITION-COMPLEMENTARY / ALTERNATIVE MEDICINE (CAM)	110,000	10/1/2005	9/30/2008
34855	M	FONG, GUO-HUA	AMERICAN HEART ASSOCIATION	ROLE OF HIF-SPECIFIC PROLYL HYDROXYLASEPHD2 IN HEART DEVELOPMENT	66,000	7/1/2006	6/30/2009
35018	M	FIFIELD, JUDITH	DONAGHUE FOUNDATION	A VIRTUAL LEARNING FORUM FOR MOVING EVIDENCE BASED RESEARCH INTO PRACTICE	25,000	11/1/2007	12/31/2008
35019	M	DIECKHAUS, KEVIN	CITY OF HARTFORD	RYAN WHITE I - SUPPLEMENT	30,000	11/1/2007	6/30/2008
36625	* M	ROSENBERG, DANIEL	UNIVERSITY OF UTAH	APPROACHES TO COLON CANCER AND PRECURSOR	100,157	9/12/2003	8/31/2008
36767	* M	SALAZAR, JUAN	UMASS	PEDIATRIC AIDS CLINICAL TRIAL UNIT	17,344	12/1/2006	11/30/2007
36796	* M	LOEW, LESLIE	UNIV OF VIRGINIA	CELL MIGRATION CONSORTIUM	225,000	8/1/2007	7/31/2008
36810	* M	BONA, ROBERT	UNIV OF PITTSBURGH	IMPACT OF HIV ON HEPATITAS C INFECTION IN HEMOPHILIA	1,700	1/1/2004	9/30/2007
RESEARCH-PRIVATE TOTAL					682,210		
<u>RESEARCH-STATE</u>							
34835	M	HAWKE, JOSEPHINE	DEPARTMENT OF CHILDREN & FAMILIES	QUALITY ASSURANCE PLAN FOR THE EMILY J SETTLEMENT AGREEMENT	18,888	4/1/2006	6/30/2008
34884	M	ROBISON, JULIE	CONNECTICUT COMMISSION ON AGING	LONG TERM CARE NEEDS ASSESSMENT	100,000	12/1/2006	6/30/2008
36687	* M	GRUMAN, CYNTHIA	MENTAL RETARDATION	MOU WITH DEPT OF MENTAL RETARDATIONDHHS 5 P01 CA77839	6,000	5/1/2005	12/30/2007
36806	* M	BAYER, MARC	DEPARTMENT OF PUBLIC HEALTH	AGREEMENT BETWEEN CT DPH & UCHC POISON CONTROL CENTER	100,000	9/1/2007	8/8/2008
36815	* M	WAKEFIELD, DOROTHY	UCONN-STORRS	UCONN FOOD STAMP NUTRITION EDUCATION	7,476	10/1/2007	9/30/2008
36818	* M	BREWER, MOLLY	UCONN-STORRS	HYBRID INTRAOPERATIVE PROBE FOR OVARIANCANCER DETECTION	122,978	7/1/2007	2/28/2009
RESEARCH-STATE TOTAL					355,342		
RESEARCH-TOTAL					3,022,513		

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<u>TRAINEESHIPS</u>							
35016	M	CHAPMAN, AUDREY	KENNETH B SCHWARTZ CENTER	CME - SCHWARTZ CENTER ROUNDS	5,147	6/28/2007	6/27/2008
35020	M	CONNOR, DANIEL	SHIRE PHARMACEUTICALS	CME - UNIV CONN MONTHLY COLLABORATIVE ADHD CASE CONFERENCE SERIES	18,893	9/1/2007	12/31/2008
<u>TRAINEESHIPS TOTAL</u>					24,040		
<u>SCHOLARSHIPS & FELLOWSHIPS</u>							
34852	M	STOKLASEK, THOMAS	NATIONAL CANCER CENTER	COMBINED IL-15/IL15R ALKPHA IMMUNOTHERAPY MAXIMIZES IL-15 ACTIVITY & TUMOR	12,500	7/1/2006	6/30/2008
<u>SCHOLARSHIPS & FELLOWSHIPS TOTAL</u>					12,500		
<u>EDUCATIONAL RELATED PROGRAMS</u>							
23118	M	BAYER, MARC	HEALTH RESOURCES AND SERVICES ADMINISTRATION	STABILIZATION & ENHANCEMENT GRANT PROGRAM FINANCIAL STABILIZATION	236,331	9/1/2007	8/31/2008
34960	M	GREENSTEIN, ROBERT	DEPARTMENT OF PUBLIC HEALTH	CT STATEWIDE GENETICS SERVICE PROGRAM (STATE FUNDS)	201,070	7/1/2007	6/30/2008
35011	M	DIECKHAUS, KEVIN	DEPARTMENT OF PUBLIC HEALTH	DPH HIV MEDICATION ADHERENCE SERVICES	140,000	7/1/2007	6/30/2008
36726	* M	DIECKHAUS, KEVIN	DEPARTMENT OF PUBLIC HEALTH	DPH HIV HEALTH CARE AND SUPPORT SERVICES	79,850	7/1/2006	6/30/2007
36787	* M	DIECKHAUS, KEVIN	DEPARTMENT OF PUBLIC HEALTH	DPH HIV HEALTH CARE COMMUNITY COLLABORATION	136,418	7/1/2007	6/30/2008
36790	* M	GREENSTEIN, ROBERT	DEPARTMENT OF PUBLIC HEALTH	CT STATEWIDE GENETICS SERVICE PROGRAM (FEDERAL FUNDS)	28,000	7/1/2007	6/30/2008
36811	* M	ROBINSON, ELIZABETH	DEPARTMENT OF CHILDREN & FAMILIES	ADOPTION ASSISTANCE PROGRAM BASE PROGRAM YR.3	60,000	7/1/2005	6/30/2008
36812	* M	ROBINSON, ELIZABETH	DEPARTMENT OF CHILDREN & FAMILIES	ADOPTION ASSISTANCE PROGRAM COMMUNITY AGENCY CONTRACTS YR.3	120,000	7/1/2005	6/30/2008
36813	* M	ROBINSON, ELIZABETH	DEPARTMENT OF CHILDREN & FAMILIES	ADOPTION ASSISTANCE PROGRAM THERAPEUTIC SRVC.TO FAMILIES YR.3	100,000	7/1/2005	6/30/2008
36814	* M	ROBINSON, ELIZABETH	DEPARTMENT OF CHILDREN & FAMILIES	ADOPTION ASSISTANCE PROGRAM FLEX FUNDS-YR.3	20,000	7/1/2005	6/30/2008
36816	* M	SALAZAR, JUAN	DEPARTMENT OF PUBLIC HEALTH	HIV/AIDS PEDIATRIC AND ADOLESCENT HEALTH CARE AND SUPPORT (RYAN WHITE II)	82,631	7/1/2007	6/30/2008
60006	M	MORSE, TIMOTHY	WORKERS COMPENSATION COMMISSION	MOU WORKERS COMPENSATION COMMISSION	30,601	7/1/2006	6/30/2008
60020	M	SAMPL, SUSAN	DEPARTMENT OF CHILDREN & FAMILIES	START NOW SKILL PROGRAM	24,563	7/1/2007	6/30/2008
<u>EDUCATIONAL RELATED PROGRAMS TOTAL</u>					1,259,464		
<u>INDUSTRY-CLINICAL TRIALS</u>							
33914	M	HEGDE, UPENDRA	ANTIGENICS LLC	ANTIGENICS C-100-12	700	9/1/2000	8/1/2007
34315	M	WHITE, WILLIAM	HAMILTON HEALTH SCIENCES CORP	CT#1U	765	10/1/2002	9/30/2008
34487	M	KUCHEL, GEORGE	AMERIFIT	CT#1U	13,000	10/1/2003	9/30/2005
34639	M	KURTZMAN, SCOTT	CYTYC CORPORATION	FCB-301 CYTYC	43,571	9/29/2004	12/31/2011
34664	M	WINOKUR, ANDREW	SANOFI PHARMACEUTICALS	LTE5407 SANOFI	18,654	12/16/2004	12/15/2007
34714	M	METERSKY, MARK	GENENTECH INC	Q2948G GENENTECH	2,289	11/29/2004	11/28/2007
34819	M	TANNENBAUM, SUSAN	ROCHE PHARMACEUTICALS	ML18530 ROCHE	513	11/17/2005	6/30/2010
64004	M	HASSAN, MOHAMED	TEVA NEUROSCIENCE INC	TVP-1012/500	8,753	2/17/2006	2/16/2008
64008	M	ONCKEN, CHERYL	PFIZER, INC.	A3051054 PFIZER	22,957	6/19/2006	6/18/2008
64019	M	HUEY, LEIGHTON	CYBERONICS INC	CT#1U	12,858	6/20/2006	6/19/2008
64022	M	AZRIN, MICHAEL	SANOFI PHARMACEUTICALS	EFC 5826 SANOFI	9,090	8/30/2006	8/29/2008
64023	M	FOLEY, RAYMOND J	ACTELION PHARMACEUTICALS	AC-052-414 ACTELION	5,500	7/4/2006	7/3/2008
64025	M	WU, GEORGE	VIRAL GENOMIX INC	VIRAL GENOMIX VT003	13,229	9/15/2006	6/30/2008
64029	M	KAMATH, JAYESH	SANOFI PHARMACEUTICALS	SANOFI EFC5582	24,700	1/25/2007	1/24/2009
64038	M	WHITE, WILLIAM	PFIZER, INC.	PFIZER A7331007	3,240	4/5/2007	4/4/2009
64050	M	ALBERTSEN, PETER	SANOFI PHARMACEUTICALS	CT#1U	12,044	9/1/2007	8/31/2007
64051	M	WHITE, WILLIAM	TAKEDA GLOBAL RSCH & DEVELOPMENT CENTER INC	CT#1U	45,225	9/1/2007	8/31/2007
64052	M	DAHN, MICHAEL	ABBOTT VASCULAR DEVICES	CT#1U	34,243	9/1/2007	8/31/2007
64053	M	CAMPBELL, WINSTON	ORTHO CLINICAL DIAGNOSTICS	CT#1U	38,738	10/1/2007	9/30/2008
<u>INDUSTRY-CLINICAL TRIALS TOTAL</u>					310,069		

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<u>INDUSTRY-RESEARCH</u>							
34278	M	MAZZOCCA, AUGUSTUS	UNSPECIFIED INDUSTRIAL SOURCES	THE UHC ORTHOPAEDIC RESEARCH ASSISTANCE FUNDING	82,216	9/1/2002	12/31/2007
34740	M	KLUEH, ULRIKE	UNSPECIFIED INDUSTRIAL SOURCES	EVALUATION OF THE NAVIGATOR GLUCOSE SENSOR IN VIVO USING A MOUSE MODEL	38,076	5/16/2005	8/16/2007
34823	M	THRALL, ROGER	UNSPECIFIED INDUSTRIAL SOURCES	EFFECT OF GLYCOSYLKERAMIDE TREATMENT OF A MURINE MODEL OF OVALBUMIN	38,119	12/1/2005	11/30/2007
34974	M	FIFIELD, JUDITH	UNSPECIFIED INDUSTRIAL SOURCES	STRATEGIC PLANNING FOR HIT IN COMMUNITY HEALTH ORGANIZATIONS	203,106	7/1/2007	6/30/2009
35017	M	LIEBERMAN, JAY	UNSPECIFIED INDUSTRIAL SOURCES	EFFECTS OF SCLEROSTIN ANTIBODY ON PROMOTING BONE REPAIR IN A RAT CRITIC	182,713	9/1/2007	8/31/2009
INDUSTRY-RESEARCH TOTAL					544,230		
<u>GIFTS & DONATIONS</u>							
38047	M	ROTHFIELD, NAOMI	UNSPECIFIED MIXED SOURCES	LUPUS RESEARCH DONATION FUND	310	7/1/1988	12/31/2007
38209	M	BONA, ROBERT	UNSPECIFIED MIXED SOURCES	HEMATOLOGY/ONCOLOGY DONATIONS - MEMORIAL FUND	100	12/1/1993	12/31/2007
38211	M	DWORKIN, PAUL	UNSPECIFIED MIXED SOURCES	CHILDREN'S CANCER FUND	3,014	12/1/1993	12/31/2007
38354	M	GUHA, AMALA	UNSPECIFIED MIXED SOURCES	COMPLEMENTARY AND ALTERNATIVE SUPPORTIVE CARE	250	10/1/2001	12/31/2007
38355	O	VERDE, PATRICIA	UNSPECIFIED MIXED SOURCES	PATIENT CHRISTMAS AND HOLIDAY FUND	1,338	11/1/2001	12/31/2007
38369	M	KOSTAK, JEAN	UNSPECIFIED MIXED SOURCES	DIABETES EDUCATION	60	12/1/2002	12/31/2007
38389	M	MAULIK, NILANJANA	UNSPECIFIED MIXED SOURCES	UNRESTRICTED CARDIOVASCULAR RESEARCH GIFT	5,600	6/1/2004	12/31/2007
38409	M	MAZZOCCA, AUGUSTUS	UNSPECIFIED MIXED SOURCES	DR. MAZZOCCA GIFT ACCOUNT	12,373	3/29/2006	12/31/2007
38420	M	GREGORIO, DAVID	UNSPECIFIED MIXED SOURCES	MPH STUDENT ORGANIZATION	45	4/30/2007	12/31/2007
GIFTS & DONATIONS TOTAL					23,090		
GRAND TOTAL					5,195,905		

COMPARISON OF AWARDS AND DONATIONS
2008 TO 2007 (JULY 1 TO JUNE 30)

PURPOSE	CURRENT FISCAL YTD THROUGH OCTOBER 2007	PREVIOUS FISCAL YTD THROUGH OCTOBER 2006	SUMMARY INCREASE / (DECREASE) IN AWARDS	% VAR
RESEARCH - FEDERAL	24,809,708	25,841,660	(1,031,952)	-4.0%
RESEARCH - NON-FEDERAL	7,967,371	5,208,788	2,758,584	53.0%
TOTAL: RESEARCH	<u>32,777,079</u>	<u>31,050,448</u>	<u>1,726,631</u>	6%
SCHOLARSHIPS	275,002	150,632	124,370	83%
TRAINEESHIPS	2,113,818	1,884,506	229,312	12%
EDUCATIONAL RELATED PROJECTS	2,594,714	1,507,350	1,087,364	72%
GIFTS AND DONATIONS	92,363	290,953	(198,590)	-68%
INDUSTRY SUPPORT	2,057,575	1,390,255	667,320	48%
TOTAL	<u>\$ 39,910,551</u>	<u>\$ 36,274,144</u>	<u>\$ 3,636,407</u>	<u>10.0%</u>
SPONSOR				
FEDERAL GOVERNMENT	27,170,115	28,082,186	(912,071)	-3%
FEDERAL PASSTHROUGH:				
PRIVATE	2,078,425	1,878,545		
STATE	<u>1,414,179</u>	<u>1,263,533</u>		
	<u>3,492,604</u>	<u>3,142,078</u>	350,526	11.2%
TOTAL: FEDERAL GOVERNMENT	30,662,719	31,224,264	(561,545)	-1.8%
PRIVATE	5,470,998	3,253,063	2,217,935	68.2%
STATE & LOCAL GOVERNMENT				
STEM CELL	100,000	0	100,000	100%
OTHER	3,676,834	1,796,816	1,880,018	105%
TOTAL	<u>\$ 39,910,551</u>	<u>\$ 36,274,144</u>	<u>\$ 3,636,407</u>	<u>10.0%</u>

ANALYSIS OF AWARDS AND DONATIONS: FY 2008

AWARDS BY SCHOOL THROUGH OCTOBER 2007

SCHOOL	RESEARCH		SCHOLARSHIPS & FELLOWSHIPS		TRAINEESHIPS		EDUCATIONAL RELATED PROGRAMS		GIFTS		INDUSTRY		TOTALS	
	#	AMOUNT	#	AMOUNT	#	AMOUNT	#	AMOUNT	#	AMOUNT	#	AMOUNT	#	AMOUNT
SCHOOL OF MEDICINE	136	\$ 28,704,941	7	\$ 225,002	13	\$ 1,579,529	27	\$ 2,534,862	34	\$ 90,325	71	\$ 2,043,709	288	\$ 35,178,367
SCHOOL OF DENTAL MEDICINE	18	4,072,139	1	50,000	2	534,289	2	59,852	1	200	4	13,866	28	4,730,346
SUB-TOTAL		<u>32,777,079</u>		<u>275,002</u>		<u>2,113,818</u>		<u>2,594,714</u>		<u>90,525</u>		<u>2,057,575</u>		<u>39,908,713</u>
OTHER SUPPORT	0	0		0		0		0	2	1,838		0	2	1,838
TOTAL	154	<u>\$ 32,777,079</u>	8	<u>\$ 275,002</u>	15	<u>\$ 2,113,818</u>	29	<u>\$ 2,594,714</u>	37	<u>\$ 92,363</u>	75	<u>\$ 2,057,575</u>	318	<u>\$ 39,910,551</u>